

# Improving Crisis Standards of Care

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## Disclosures

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Greenwall Foundation Award (Parker)

# Objectives

**Overall:** Improve the performance and equity of allocation procedures for scarce ICU resources in a crisis

**Part 1:** Build an accurate proof-of-concept prediction model for ICU survival based on real-world local data

**Part 2:** Demonstrate the implications of several resource allocation protocols through Monte Carlo simulation

# Patient Population

Two hospitals (one academic, one community)

Hospitalized adults ( $\geq 18$ ) from 2019.01.01 to 2022.06.30

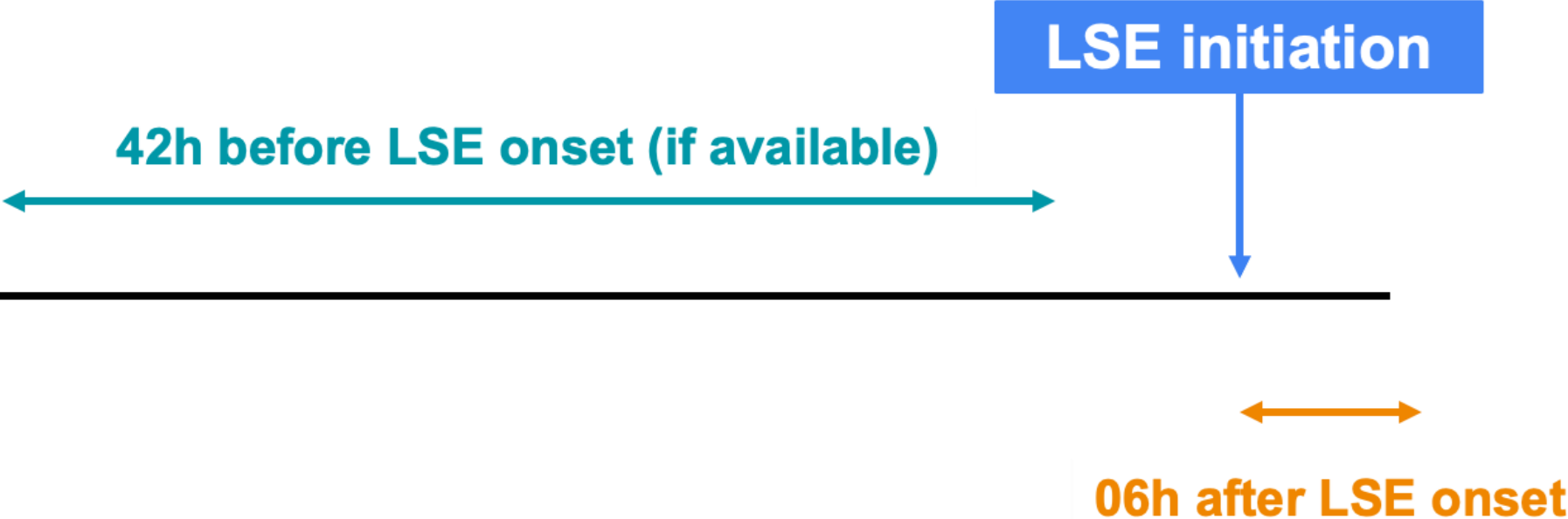
Received 6+ consecutive hours of life support

- Vasoactive medications (blood pressure support) or
- Invasive or noninvasive mechanical ventilation (breathing support) or
- High-flow  $O_2$  + P:F ratio  $< 200$  or S:F ratio  $< 179$  (breathing support)

# Local Data

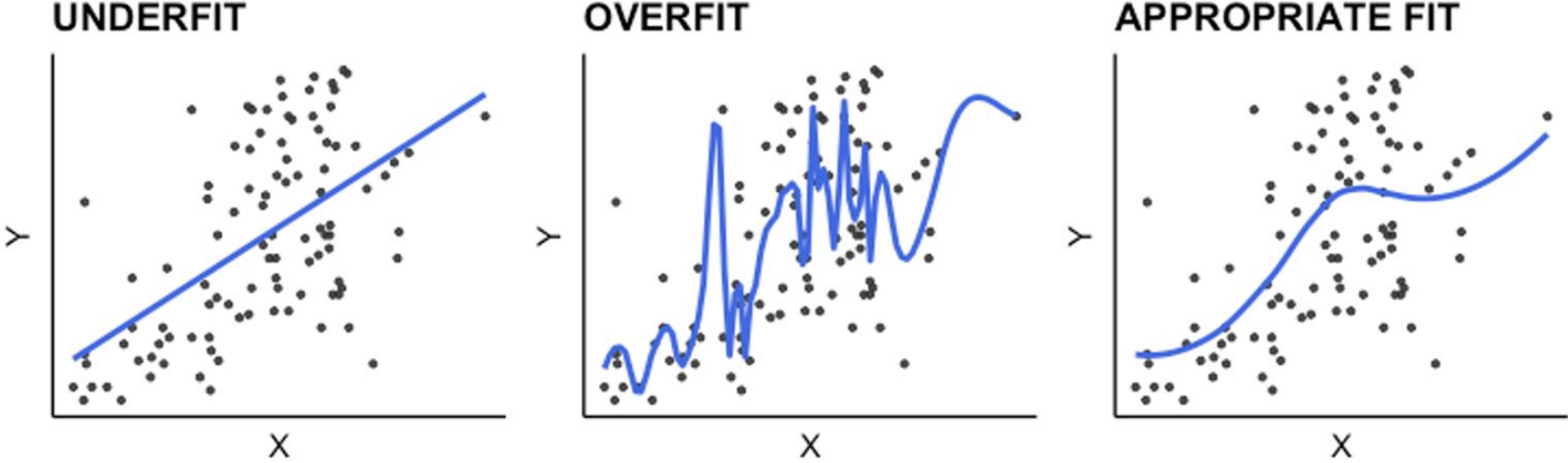
Characteristic	Survived, n = 9,512	Died, n = 2,015
Age (years), median (IQR)	62 (49-71)	65 (54-74)
Female, n (%)	4,081 (43)	782 (39)
Race, n (%)		
American Indian or Alaska Native	183 (1.9)	30 (1.5)
Asian	266 (2.8)	65 (3.2)
Black or African American	268 (2.8)	46 (2.3)
Native Hawaiian or Other Pacific Islander	91 (1.0)	22 (1.1)
White	8,080 (85)	1,534 (76)
Not Available	624 (6.6)	318 (16)
Ethnicity, n (%)		
Hispanic or Latino	810 (8.5)	136 (6.7)
Not Hispanic or Latino	7,899 (83)	1,546 (77)
Not Available	803 (8.4)	333 (17)

# Data to Predict Survival



LSE = Life Support Episode

# Prediction Models, Oversimplified



Learn a pattern in some data, predict in new data

# Models to Predict Survival

<b>Model</b>	<b>Comment</b>
SOFA	As per common guidelines (3 tiers)
Modified SOFA	Kidney subscore limited in CKD
New Models	48h worst values in objective data



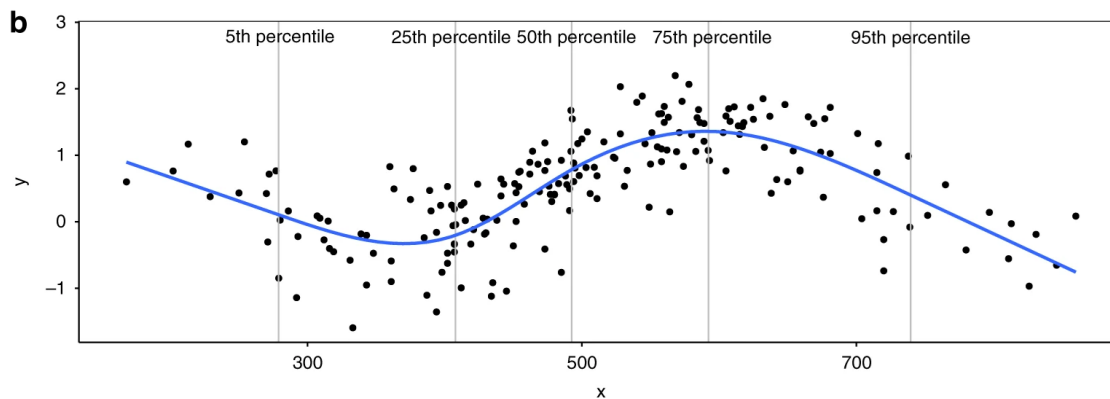
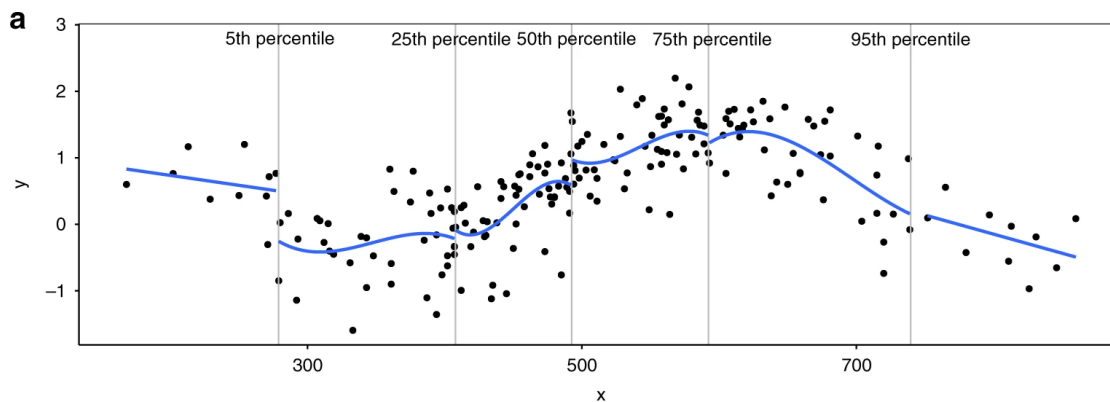
# Logistic Regression Modeling

Organ System	Predictor Variables	Comment
Heart	Highest number of vasoactive medications	
	Lowest mean arterial pressure (MAP)	
	Highest shock index	heart rate / systolic BP
Lung	Lowest S:F ratio	
	Use of advanced respiratory support	IMV, NIV, HFO
Kidney	Use of new acute dialysis	sCr, chronic dialysis not considered
Brain	Lowest Glasgow Coma Scale (GCS) score	
Liver	Highest total bilirubin concentration	
Blood	Lowest platelet count	

# Additional Modeling Details

Splines

Imputation



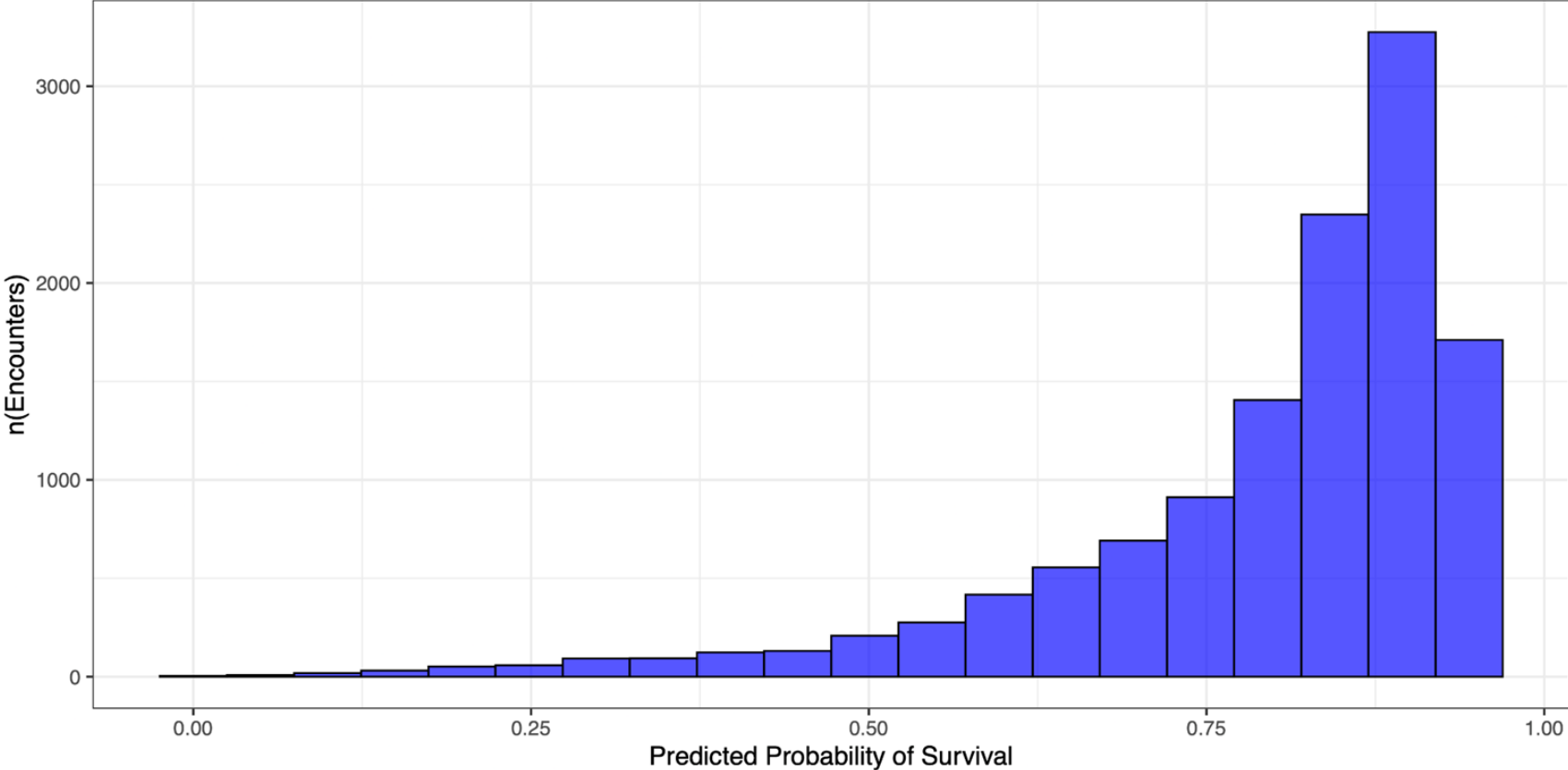
# Analysis

Calibration

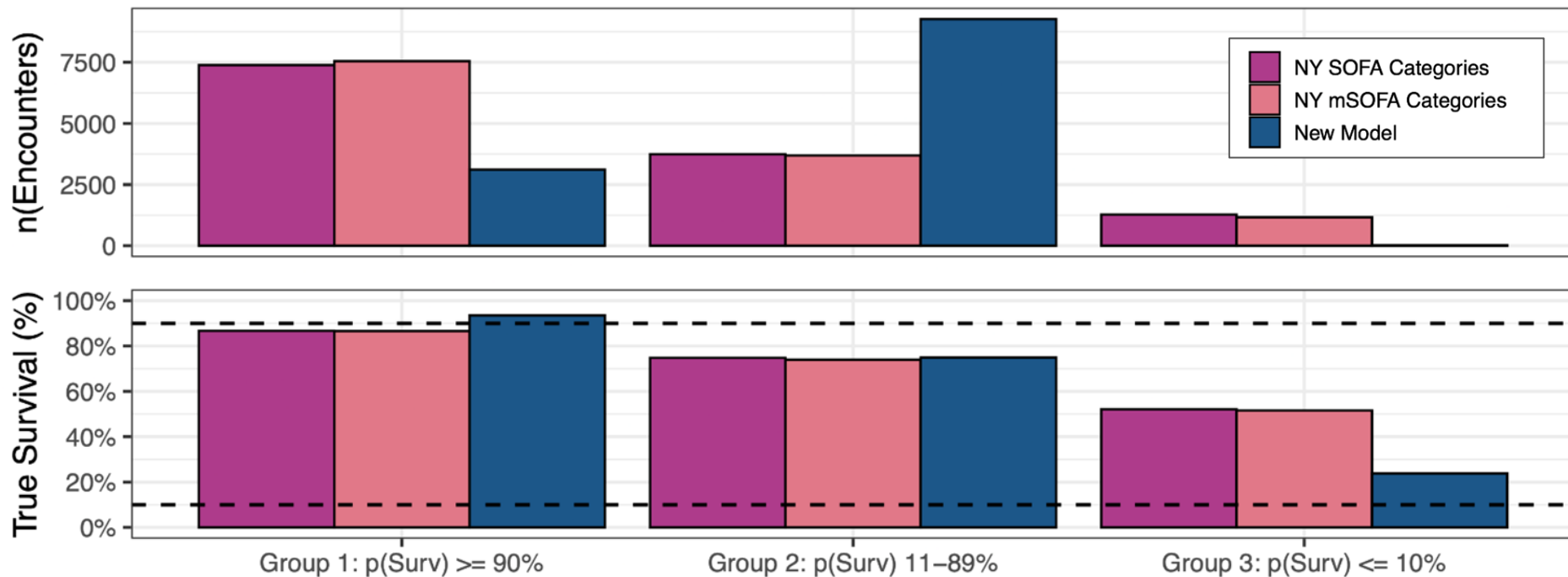
Discrimination

Equity and Fairness

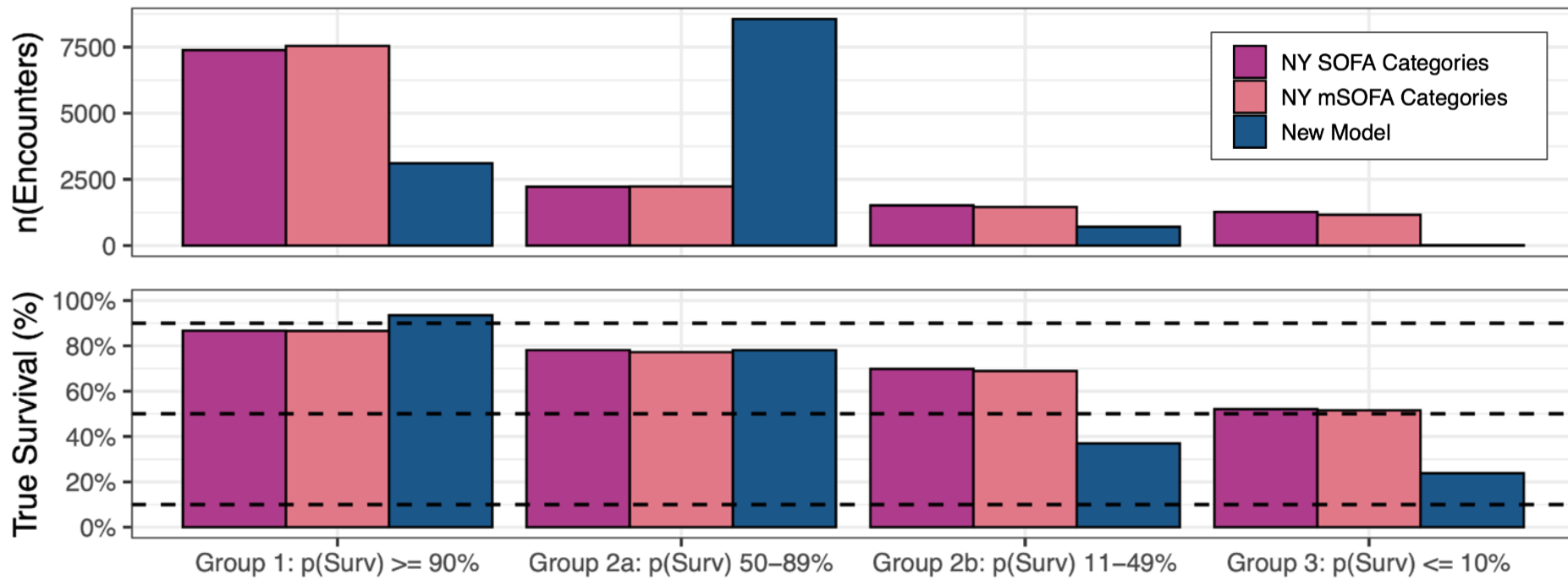
# Predicted Probability of Survival



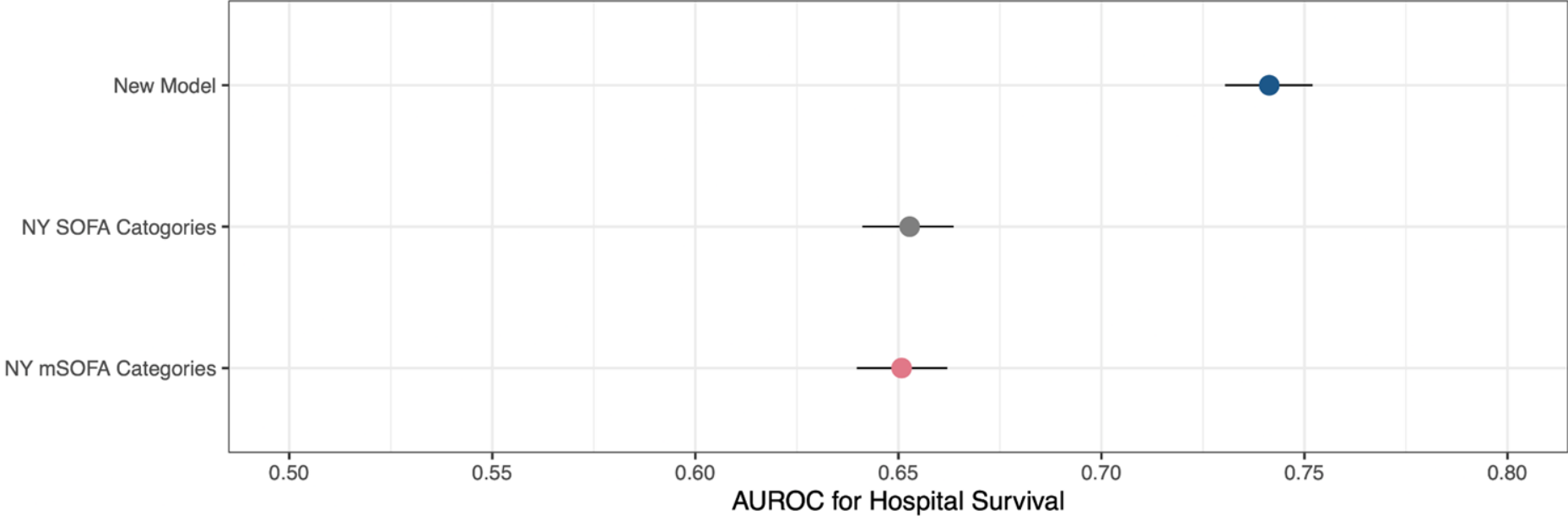
# Predicted Probability of Survival



# Predicted Probability of Survival



# Discriminatory Performance



# Conceptualizing Resource Allocation

A popular band announces a one-night-only concert in town. What are some consequences if tickets are sold \_\_\_\_? (Ignore resale, etc)

First-come, first-served: Favors the fans with flexible schedules, fast internet, extra resources needed to be “well-prepared”

By lottery: Equal chances for anyone who enters. Casual fans have the same chances as the biggest fans.

By some other strategy: How might you update these processes?

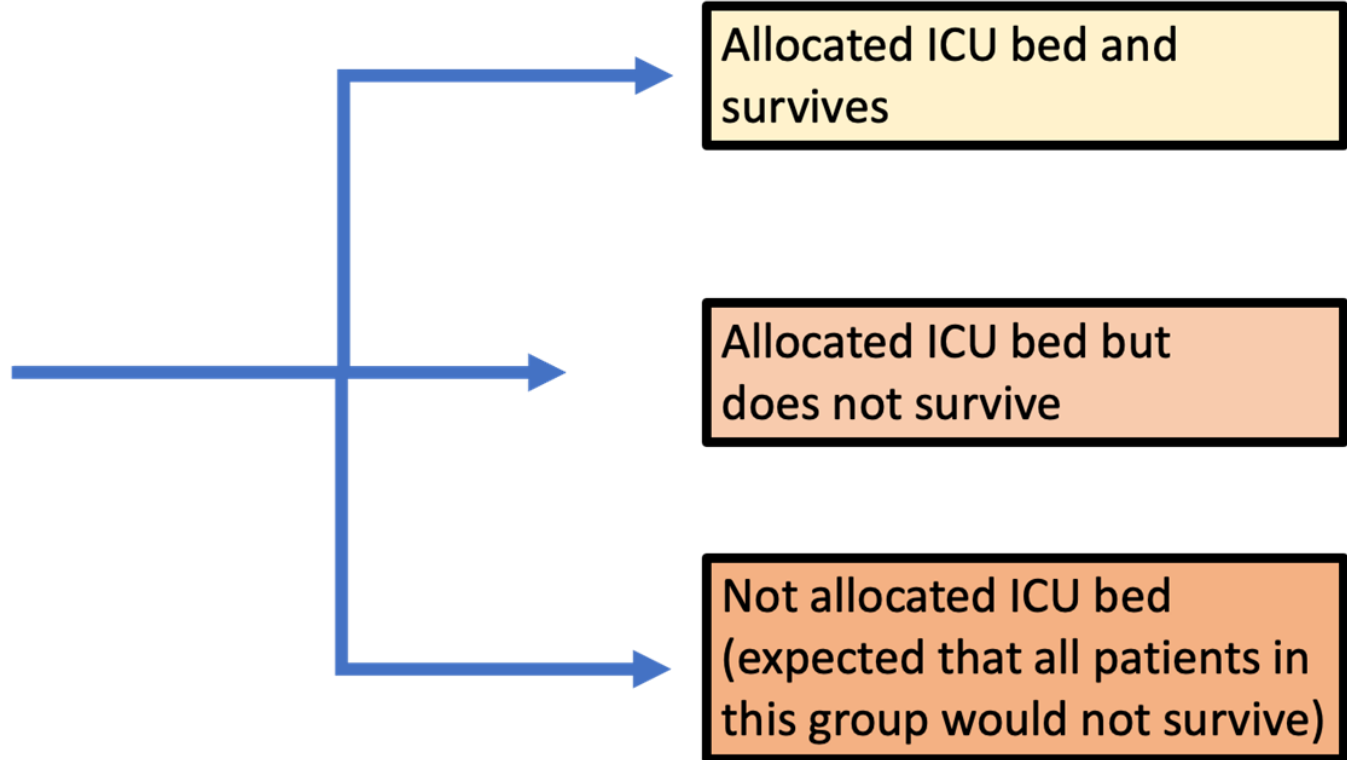


# Simulating ICU Bed Allocation

Regardless of how prognosis is made, we must understand the implications of the triage strategy being used

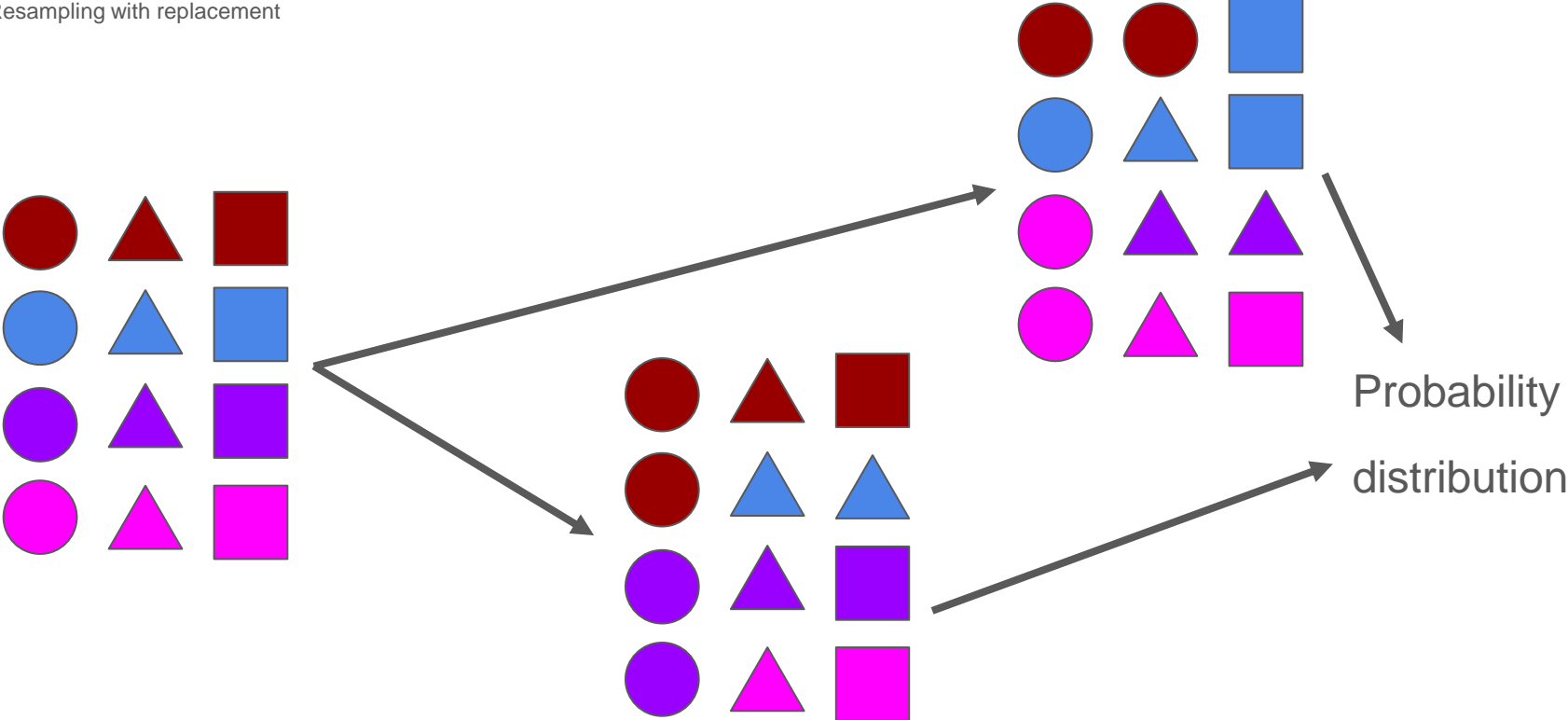
<b>Strategy</b>	<b>Definition</b>
Lottery	Random Assignment
SOFA categories	NY state protocol
mSOFA categories	Renal correction
Equitable Chances	Lottery weight proportional to a measure of preexisting disadvantage (e.g., C19 AAMR)

# What Are the Possible Triage Outcomes?



# Monte Carlo Simulation

Resampling with replacement



# How Does This Simulation Work?



Patients arrive in groups of 4

25% scarcity: 3 patients allocated ICU

50% scarcity: 2 patients allocated ICU

75% scarcity: 1 patient allocated ICU

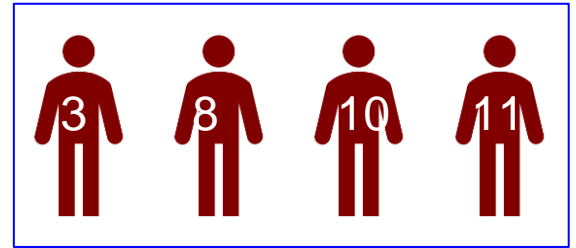
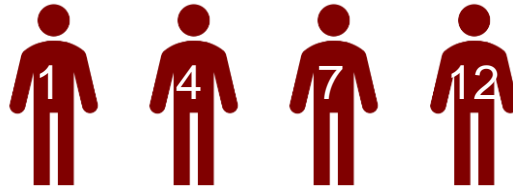
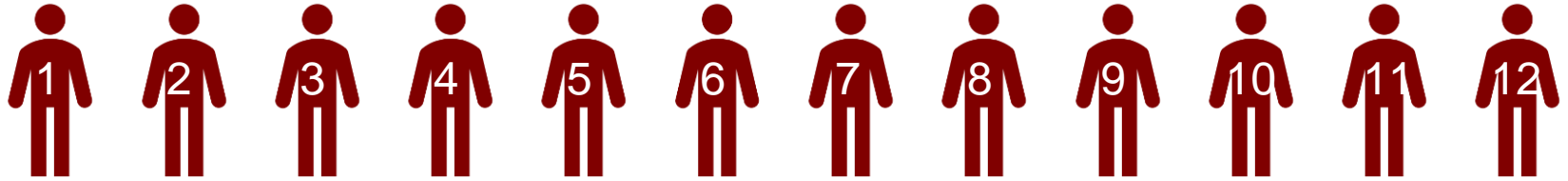
**Based on allocation strategy**

Priority #1

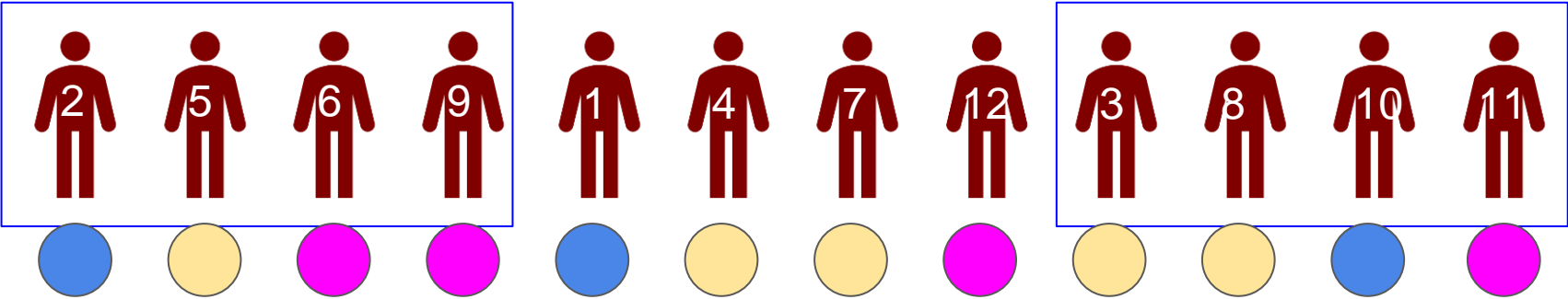
Priority #2




etc...

# Simulation Example (SOFA categories) [slide 1 of 4]

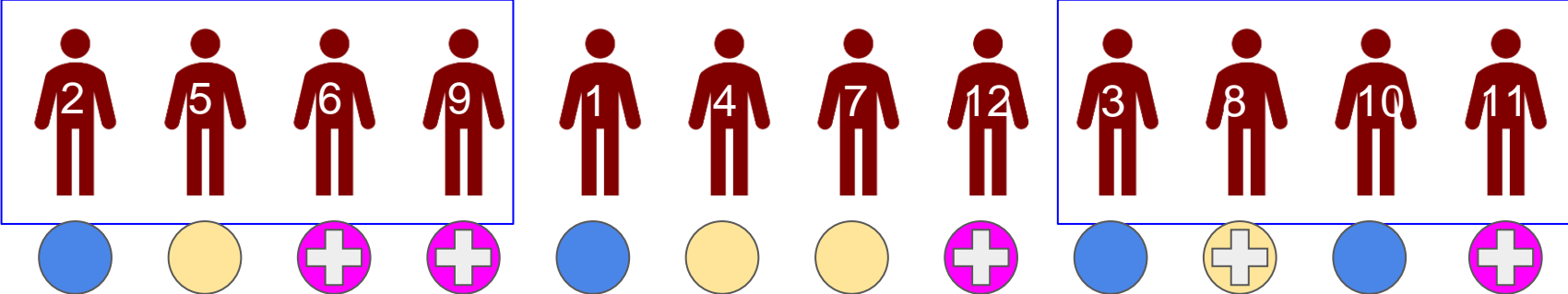


# Simulation Example (SOFA categories) [slide 2 of 4]

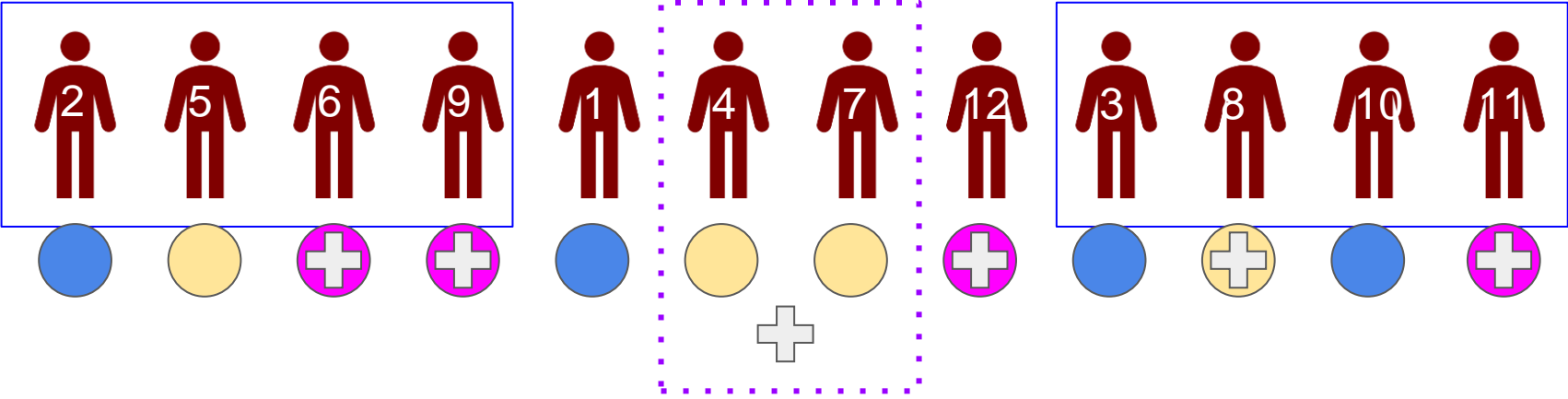


-  SOFA < 8
-  SOFA 8-11
-  SOFA > 11

# Simulation Example (SOFA categories) [Slide 3 of 4]



# Simulation Example (SOFA categories) [Slide 4 of 4]



Lottery within SOFA group



# Equitable Chances

Assign patient to group based on new model

If a pair of patients are in the same group, run a weighted lottery

**Step 1:** Clinician Prognosis: assess patient's chance to survive hospitalization. Group 1 gets highest priority for the resource, and Group 3 gets lowest priority for the resource:

Group 1: lowest risk of death ( $\geq 90\%$  chance of hospital survival)

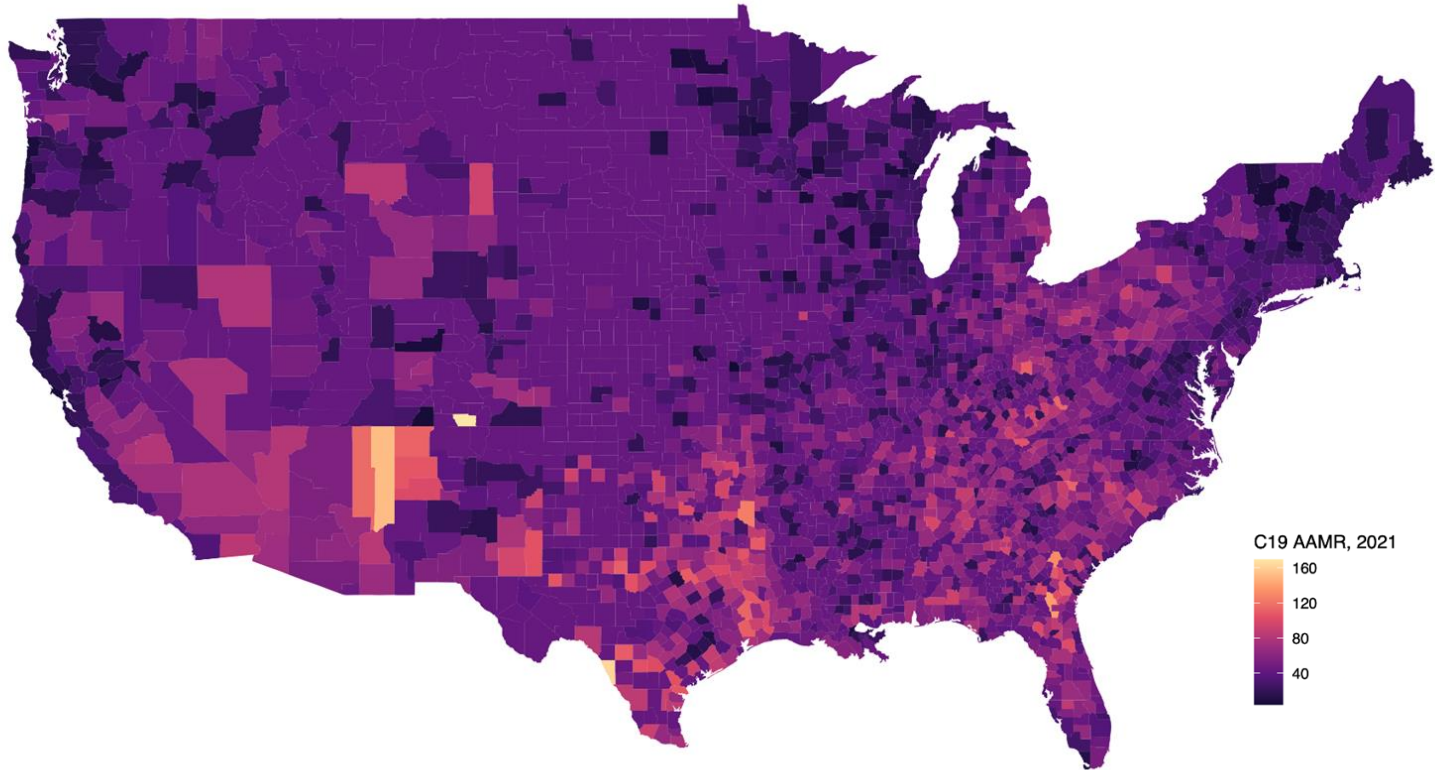
Group 2: moderate risk of death (89-11 % chance of hospital survival)

Group 3: high risk of death ( $\leq 10\%$  chance of hospital survival)

**Step 2:** Equitable Chances Criterion: In the event there are not enough resources for patients in the same prognosis group, apply equitable chances criterion.

Determine the disadvantage score for each patient based on the disadvantage index for their geographic residence. Assign additional equitable chances weighting for patients based on level of disadvantage, proportionate to impact (as measured by disadvantage index). Complete the automated, weighted randomization process (a drawing) using available software to determine who receives the resource. See detailed example below.

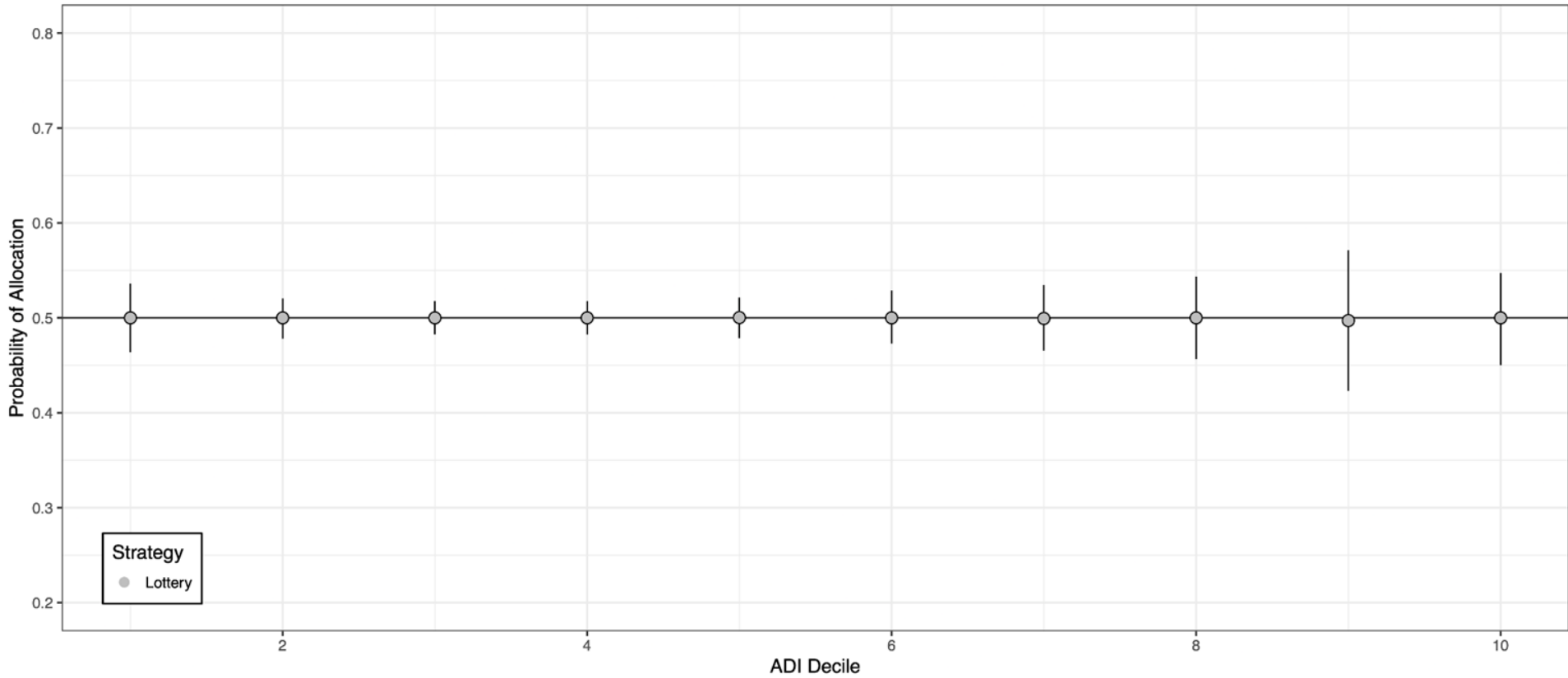
# Local Age-Adjusted COVID-19 Mortality



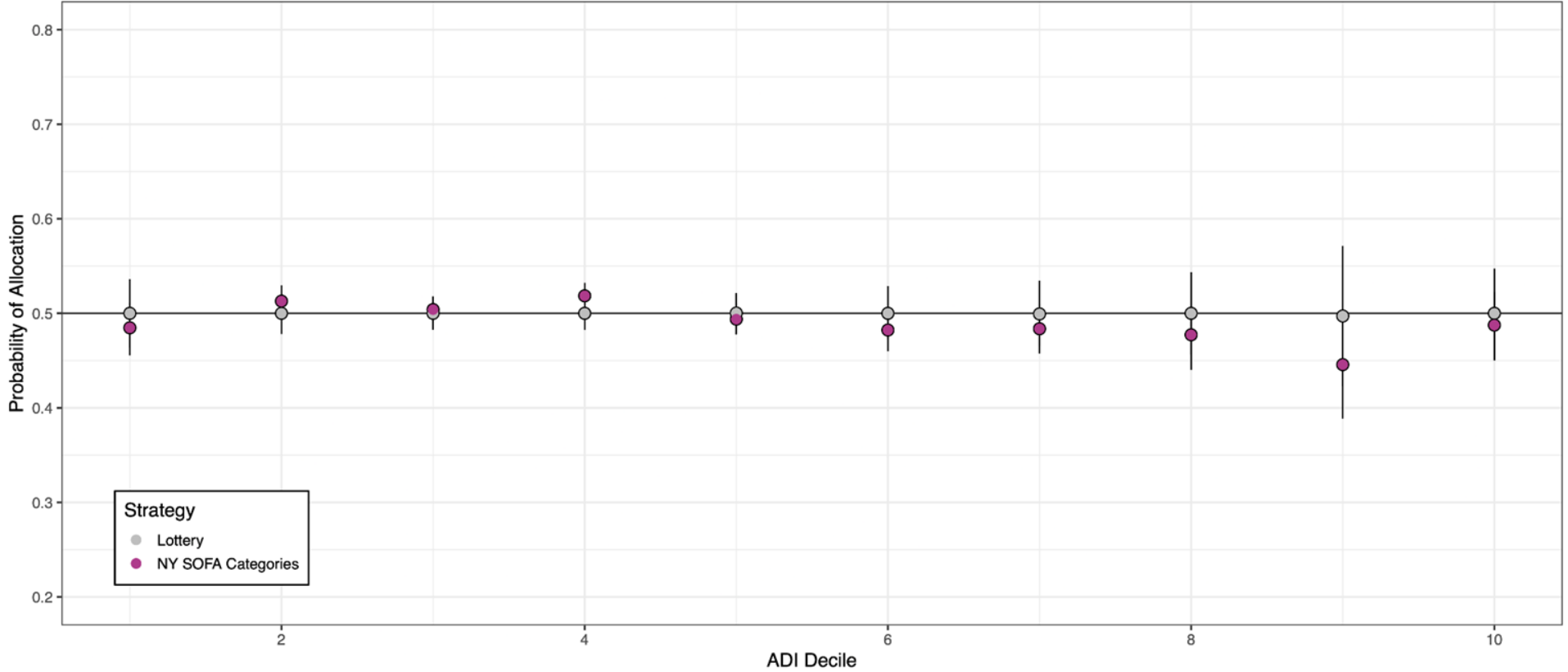
# How Do Simulations Assess Performance?

Measurement	Definition
$p(\text{bed})$	Probability of being assigned an ICU bed (1 - degree_scarcity)
$p(\text{survive} \text{bed})$	Probability of survival conditional on receiving an ICU bed (% of pts allocated to ICU that survive?)
$p(\text{survive overall})$	Probability of survival overall (assuming that all patients not allocated ICU beds would die)
$n(\text{lives saved})$	Each patient allocated to ICU who survives = 1
$n(\text{lives saved over lottery})$	Compared to a random lottery, how many additional patients will survive with this strategy

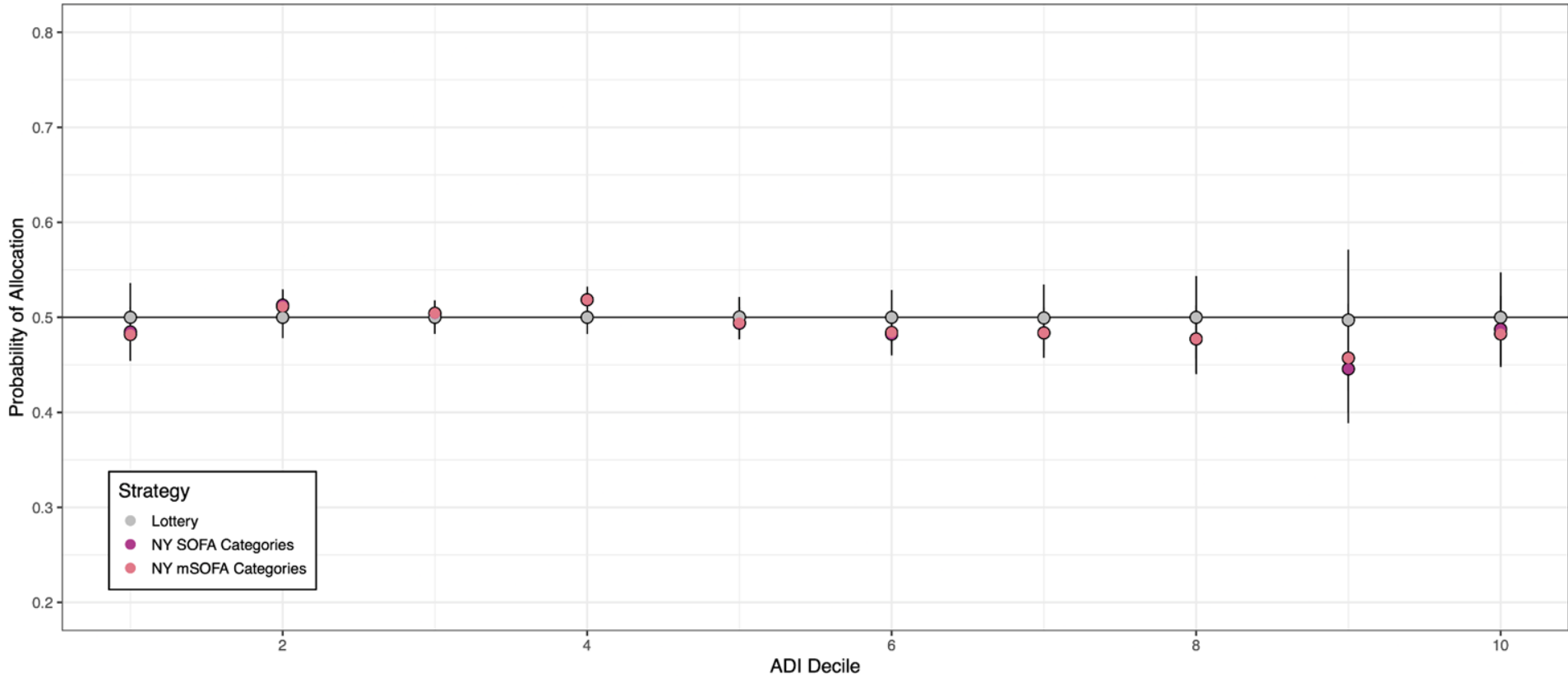
# Lottery Allocates Resources Evenly Across ADI Deciles



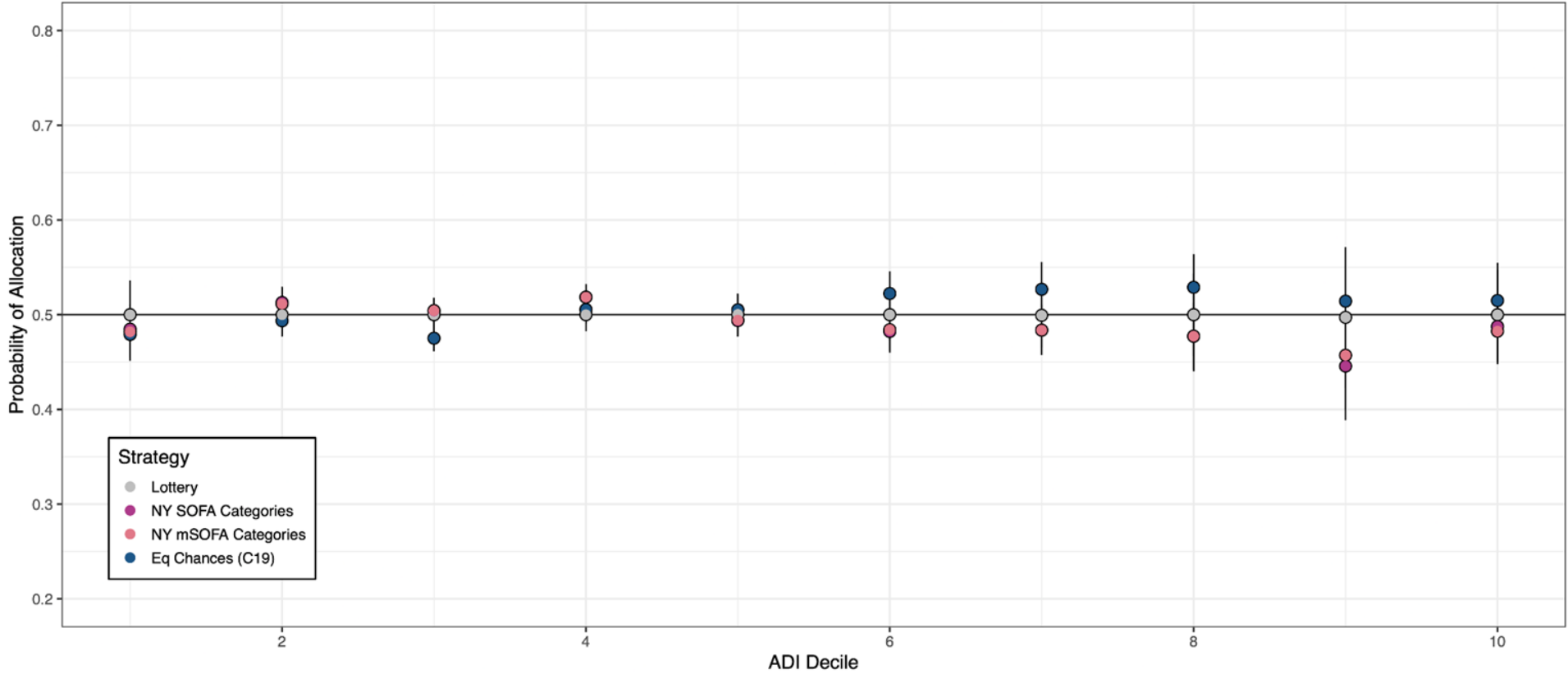
# SOFA-based Protocols Deprioritize the Disadvantaged



# Renal SOFA Modifications Are Not Much Help



# Equitable Chances Can Counteract Biases



# Lives Saved Under This Version of Eq. Chances

